

Johnson C. Smith University
Government Sponsored Programs and Research

**FINANCIAL CONFLICT OF INTEREST IN RESEARCH
SUBRECIPIENT/COLLABORATOR CERTIFICATION FORM**

All sub-recipients or collaborating organizations who have current collaborative research projects with or will submit collaborative research proposals to Public Health Service (PHS) agencies, National Science Foundation (NSF) or non-federal sponsors who adopt PHS/NSF financial conflict of interest (FCOI) in research policy, are required to comply with the federal regulations on FCOI in research (42 CFR. Part 50, Subpart F; 45 CFR Part 94 & NSF AAG Chapter IV.A).

Please read and complete the form by checking the box next to the item that is applicable to you or your institution.

- Sub-recipient or Collaborating Organization hereby certifies that it has an active written and enforced FCOI in research policy that complies with the federal regulations as above referenced, and that it fully enforces its policy.

Sub-recipient or Collaborating Organization also certifies that it will:

- Promote and enforce Investigator compliance with the regulations, including those pertaining to disclosure of significant financial interests (SFI);
- Manage FCOI in research policy and provide initial and ongoing reports as detailed in the federal regulations as well as the contract or sub-award agreement; and
- Agree to make FCOI in research policy and SFI information promptly available to JCSU.
- Sub-recipient or Collaborating Organization does not have an active or enforced FCOI in research policy that complies with federal regulations on research as above referenced and hereby agrees to abide by JCSU's policy on FCOI in research, shall submit all required information to JCSU for review. Please see <http://www.gsparjcsu.com/resources.php> for information about JCSU's FCOI in research policy and related procedures.
- Sub-recipient or Collaborating Organization hereby certifies that it has complied with the above referenced FCOI in research policy at the time of proposal submission, and that all identified FCOIs have been managed and reported in accordance with that Policy.
- Sub-recipient or Collaborating Organization hereby declares that it does not have a SFI to disclose in accordance with FCOI in research policy as above referenced.
- Sub-recipient or Collaborating Organization hereby declares that it has a SFI to disclose in accordance with FCOI in research policy as above referenced. *(If you check this box, please complete JCSU Sub-recipient/collaborator FCOI disclosure form)*

APPROVED FOR SUBRECIPIENT OR COLLABORATING ORGANIZATION: The above statement has been read, signed and made by an authorized official of the Sub-recipient or Collaborating Organization named herein. The appropriate programmatic and administrative personnel involved in this application are aware of agency policy in regard to contract or sub-awards and are prepared to establish the necessary inter-institutional agreements consistent with those policies.

Note: Any work begun and/or expenses incurred prior to execution of a contract or sub-award agreement is at the collaborating organization or sub-recipient's own risk.

Type or Print Name **Title**

Phone Number **Fax Number** **Email**

Signature of Collaborating Organization or Sub-recipient **Date**